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RECEIPT  
PCT # 8  
6-3-2

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicants: Ehrfeld, et al.

Examiner:

Serial No: 09/889,500

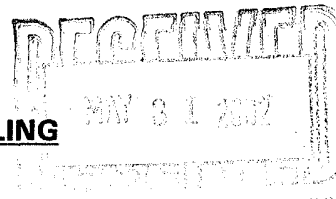
Group Art Unit:

Filed: October 5, 2001

Date: December 4, 2001

For: "DISK MOTOR WITH BEARING PRESTRESSING FEATURE"

Assistant Commissioner of Patents  
Washington, D. C. 20231



TECHNOLOGY CENTER 2800  
APR - 1 2002

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**CERTIFICATE OF MAILING**

Sir:

The undersigned hereby certifies that the attached **COMMUNICATION TO REQUEST CORRECTED FILING RECEIPT AND DECLARATION PREVIOUSLY SUBMITTED 10/3/01** were mailed to the Assistant Commissioner for Patents, Washington, D.C. 20231, with sufficient first-class postage, no special handling, on December 4, 2001, before 5:00 PM, thereby ensuring that such document(s) will be in the hands of the U. S. Postal Service by the close of business this day. Thus, timely response has been made to the outstanding Office action prior to expiration of the shortened statutory period for the same ending **NONE**.

The Commissioner is hereby authorized to charge any fees which might be required or credit any overpayment of fees with regard to the attached document(s) to Account No. **08-3150**.

Respectfully submitted,

**HUDAK & SHUNK CO., L.P.A.**

By: Daniel J. Hudak, Jr.  
Registration No. 47,669

DJHjr/sab  
7 West Bowery Street  
Suite 808  
Akron, OH 44308-1133  
(330) 535-2220  
Attorney Docket No.: FMW-JJ-PCT-US  
Enclosures: Return Postcard  
Certificate of Mailing  
Communication to Request Corrected Filing Receipt  
Declaration previously submitted 10/3/01



COPY OF PAPERS  
ORIGINALLY FILED

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**COMMUNICATION TO REQUEST FILING RECEIPT CORRECTION**

Sir:

The attached filing receipt for the above application was received in our office on November 2, 2001. The "Applicant(s)" section is incorrect on the filing receipt. The correct information can at least be found in the enclosed Declaration. Under the "Applicant(s)" section, the third line should read, "Frank Michel, Mainz, GERMANY", the fourth line should read, "Matthias Nienhaus, Mainz, GERMANY, and the fifth line should read "Stephan Kleen, Mainz, GERMANY." The information listed for Wolfgang Ehrfeld and Hans-Dieter Stolting is correct.

We are asking that you correct the filing receipt and send a new corrected filing receipt to us at your earliest convenience.

Respectfully submitted,

**HUDAK & SHUNK CO., L.P.A.**

By: Daniel J. Hudak, Jr.  
Registration No. 47,669

DJH/sab  
7 West Bowery Street  
Suite 808  
Akron, OH 44308-1133  
(330) 535-2220  
Attorney Docket No.: FMW-JJ-PCT-US

APR - 1 2002  
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PTO/SB/01 (4-2001)

Approved for use through 9/30/98 OMB 0651-0032

Type a plus sign (+) inside this box → Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION

Declaration                      OR                      Declaration  
☒ Submitted                      ☐ Submitted after  
with Initial Filing                      Initial Filing

Attorney Docket No. FMW-JJ-PCT-US (I 788)

First Named Inventor EHRFELD, Wolfgang

## COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## "DISK MOTOR WITH BEARING PRESTRESSING FEATURE"

(Title of the invention)

the specification of which

☐ is attached hereto  
OR☒ was filed on (MM/DD/YYYY) 01/20/00 as United States Application Number or PCT International

Application Number PCT/EP00/00421 and was amended on (MM/DD/YYYY) 00/00/0000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
199 02 371.9	DE	01/22/1999		<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(a) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(July 1996)

Type a plus sign (+) inside this box → ☐

## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP00/00421	01/20/2000	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
HUDAK, Daniel J.	25,879	TYRPAK, Michele M.	42,192
SHUNK, Laura F.	31,423	HUDAK, Daniel J. Jr.	47,669
ROTE, Frank C. Jr.	20,395	FARINE, Cheryl L.	36,796
SHUST, Nestor, W.	23,034		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	HUDAK & SHUNK CO., L.P.A.		
Address	7 West Bowery Street, Suite 808		
Address			
City	Akron	State	Ohio
Country	US	Telephone	330-535-2220
		Fax	330-535-1435

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Wolfgang	Middle Initial		Family Name	EHRFELD	Suffix e.g. Jr.	
Inventor's Signature	Wolfgang Ehrfeld					Date	02.07.01
Residence: City	Mainz	State		Country	Germany	Citizenship	DE
Post Office Address	Kehlweg 22						
Post Office Address							
City	Mainz	State		ZIP	D-55122	Country	Germany

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

Type a plus sign (+) inside this box → ☐

DECLARATION						ADDITIONAL INVENTOR(S) Supplemental Sheet	
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Hans-Dieter		Middle Initial		Family Name	STÖLTING	
Inventor's Signature	<i>Hans-Dieter Stöltig</i>					Date	8.8.02.01
Residence: City	Garbsen		State		Country	Germany	Citizenship DE
Post Office Address	Grandkuhle 4						
Post Office Address							
City	Garbsen		State		ZIP	D-30823	Country Germany
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Frank		Middle Initial		Family Name	MICHEL	
Inventor's Signature	<i>Frank Michel</i>					Date	10.07.01
Residence: City	Nieder-Olm Mainz		State		Country	Germany	Citizenship DE
Post Office Address	Ebersheimer Strasse 4						
Post Office Address	Trajanstrasse 16						
City	Nieder-Olm Mainz		State		ZIP	D-55268 D-55131	Country Germany
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Matthias		Middle Initial		Family Name	NIENHAUS	
Inventor's Signature	<i>Matthias Nienhaus</i>					Date	24.07.01
Residence: City	Mainz		State		Country	Germany	Citizenship DE
Post Office Address	St. Sebastian-Strasse 6						
Post Office Address	Hinter den Wiesen 7						
City	Mainz		State		ZIP	D-55128 D-55127	Country Germany
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor							
Given Name	Stephan		Middle Initial		Family Name	KLEEN	
Inventor's Signature	<i>Stephan Kleen</i>					Date	12.07.01
Residence: City	Mainz		State		Country	Germany	Citizenship DE
Post Office Address	Boppstrasse 64						
Post Office Address	Reichklarastrasse 2						
City	Mainz		State		ZIP	D-55118	Country Germany

☐ Additional inventors are being named on supplemental sheet(s) attached hereto.

(July 1996)